

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(Use as many sheets as necessary)*

Sheet

1

of 12

2

**Complete if Known**

|                    |            |
|--------------------|------------|
| Application Number | 10/525,279 |
|--------------------|------------|

|             |            |
|-------------|------------|
| Filing Date | 12/27/2005 |
|-------------|------------|

|                      |               |
|----------------------|---------------|
| First Named Inventor | Guann-Pyng Li |
|----------------------|---------------|

|          |      |
|----------|------|
| Art Unit | 2856 |
|----------|------|

|               |                   |
|---------------|-------------------|
| Examiner Name | Miller, Rose Mary |
|---------------|-------------------|

|                        |             |
|------------------------|-------------|
| Attorney Docket Number | UCIVN-021US |
|------------------------|-------------|

## U. S. PATENT DOCUMENTS

[illegible]

**FOREIGN PATENT DOCUMENTS**

[illegible]

Examiner  
Signature

|      |  |
|------|--|
| Date |  |
| Cons |  |

|  | Not Considered | Considered |
|--|----------------|------------|
| 1. The number of people who are involved in the project.   |                |            |
| 2. The amount of money that is invested in the project.    |                |            |
| 3. The time that it takes to complete the project.         |                |            |
| 4. The risk that the project will fail.                    |                |            |
| 5. The complexity of the project.                          |                |            |
| 6. The importance of the project.                          |                |            |
| 7. The availability of resources.                          |                |            |
| 8. The level of uncertainty.                               |                |            |
| 9. The degree of competition.                              |                |            |
| 10. The size of the organization.                          |                |            |
| 11. The industry in which the project is being undertaken. |                |            |
| 12. The nature of the project itself.                      |                |            |
| 13. The location of the project.                           |                |            |
| 14. The political environment.                             |                |            |
| 15. The legal environment.                                 |                |            |
| 16. The economic environment.                              |                |            |
| 17. The social environment.                                |                |            |
| 18. The technological environment.                         |                |            |
| 19. The environmental impact of the project.               |                |            |
| 20. The ethical implications of the project.               |                |            |

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND

TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

|                        |                   |
|------------------------|-------------------|
| Application Number     | 10/525,279        |
| Filing Date            | 12/27/2005        |
| First Named Inventor   | Guann-Pyng Li     |
| Art Unit               | 2856              |
| Examiner Name          | Miller, Rose Mary |
| Attorney Docket Number | UCIVN-021US       |

Sheet 2 of 2

**NON PATENT LITERATURE DOCUMENTS**

| Examiner Initials* | Cite No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>2</sup> |
|--------------------|-----------------------|---|----------------|
|                    |                       | TANAKA, et al., A Novel Mechanical Cochlea 'Fishbone' with Dual Sensor/Actuator Characteristics, IEEE/ASME Transactions on Mechtronics, Vol. 3, No. 2, June 1998, pgs. 98-105.  |                |
|                    |                       |   |                |
|                    |                       |   |                |
|                    |                       |   |                |
|                    |                       |   |                |
|                    |                       |   |                |
|                    |                       |   |                |
|                    |                       |   |                |
|                    |                       |   |                |
|                    |                       |   |                |
|                    |                       |   |                |
|                    |                       |   |                |
|                    |                       |   |                |
|                    |                       |   |                |

Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:

Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.